

UNITED STATES DISTRICT COURT FOR THE  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

UNITED STATES OF AMERICA,  
  
Plaintiff,  
  
v.  
  
WILLIAM J. WIDMER,  
  
Defendant.

CASE NO.  
  
**COMPLAINT TO ENFORCE  
COMPLIANCE WITH CONSENT  
ORDER**

Plaintiff United States of America, on behalf of Brian Brooks, the Acting Comptroller of the Currency (Comptroller), United States Department of the Treasury, respectfully applies to the Court for a judgment pursuant to Section 8(i)(1) of the Federal Deposit Insurance Act, 12 U.S.C. §1818(i)(1), enforcing compliance by Defendant William J. Widmer (Widmer) with a final Consent Order entered by the Office of the Comptroller of the Currency on November 18, 2014, directing Widmer to pay restitution of ONE MILLION FOUR HUNDRED SIXTY FOUR THOUSAND DOLLARS (\$1,464,000) to Hometown National Bank, pursuant to 12 U.S.C. 1818(b)(6)(A)(ii).

1 In support the United States alleges as follows:

2 **INTRODUCTION**

- 3 1. The Comptroller seeks by this action to enforce a Consent Order, which was entered on  
4 November 18, 2014.  
5  
6 2. Widmer consented to the entry of the Consent Order on October 27, 2014.  
7  
8 3. Widmer has not complied with the Consent Order's requirement to pay restitution.

9 **PARTIES**

- 10 4. Brian Brooks (Brooks), is the Acting Comptroller of the Currency. As such, Brooks serves as  
11 the Chief Officer of the Office of the Comptroller of the Currency (OCC). 12 U.S.C.  
12 § 1(b)(1). The OCC is an independent bureau of the United States Treasury "charged  
13 with assuring the safety and soundness of, and compliance with laws and regulations, fair  
14 access to financial services, and fair treatment of customers by, the institutions and other  
15 persons subject to its jurisdiction." 12 U.S.C. § 1(a).  
16  
17 5. Defendant, Widmer, is the former "institution-affiliated party" of the Hometown National  
18 Bank in Longview, Washington (Hometown) as that term is defined in 12 U.S.C. § 1813(u).  
19 Widmer is a resident of Kirkland, Washington.  
20

21 **JURISDICTION AND VENUE**

- 22 6. This Court has jurisdiction under 12 U.S.C. § 1818 (i)(1) and 28 U.S.C. §§ 1331 and 1345.  
23  
24 7. Venue is proper because Widmer is a resident of Kirkland, Washington, within the Western  
25 District of Washington.

26 **STATEMENT OF RELEVANT FACTS**

- 27 8. In 2014 the OCC completed an investigation into the affairs of Hometown and, deeming it  
28 appropriate and in the public interest, proposed to institute a public administrative action to

1 prohibit any further participation by Widmer, in any manner, in the conduct of the affairs of  
2 any insured depository institution, as that term is defined in 12 U.S.C. § 1813(c)(2)  
3 (Prohibition) and to order Widmer to pay restitution to Hometown for losses sustained as a  
4 result of Widmer's actions (Restitution), pursuant to 12 U.S.C. §§ 1818(b)(6) and (e).  
5

6 9. In 2014 Widmer was the former Chair of the Board and a shareholder in Hometown and was  
7 a person who filed applications for change in control of Hometown in 2012 and 2013.  
8

9 10. In anticipation of commencement of proceedings against Widmer, the OCC submitted a  
10 proposed Consent Order to Widmer as an offer of settlement. Pursuant to the proposed  
11 Consent Order, Widmer would agree to the Prohibition and to Restitution of One Million  
12 Four Hundred Sixty-Four Thousand Dollars (\$1,464,000).  
13

14 11. On October 27, 2014, Widmer agreed to the proposed settlement and executed the Consent  
15 Order. By accepting the settlement and executing the Consent Order, Widmer consented to  
16 entry of the OCC order without admitting or denying the allegations therein, except as to the  
17 OCC's jurisdiction over him and the subject matter of the proposed proceeding, which were  
18 admitted.  
19

20 12. On November 18, 2014, the OCC entered the Consent Order in action AA-EC-2014-85,  
21 immediately prohibiting Widmer from participation in the affairs of an insured depository  
22 institution and ordering the payment of Restitution of One Million Four Hundred Sixty-Four  
23 Thousand Dollars (\$1,464,000). A copy of the executed Consent Order is attached hereto as  
24 Exhibit 1.  
25

26 13. To date, Widmer has not paid the Restitution.

27 14. In the Consent Order, the OCC found that Widmer served as Chairman of Hometown from  
28 December 2012 through August 2013; was a shareholder and participated in the affairs of

Hometown from October 2102 through September 2013; and filed a change-of-control notice with the OCC in August 2012 in connection with his investment in Hometown.

15. In the Consent Order, the OCC found that Widmer, in his role as shareholder and Chairman of Hometown caused Hometown to open and operate a loan processing center as a branch office of Hometown in Irvine, California without obtaining a required determination of no supervisory objection from the OCC.

16. In the Consent Order, the OCC found that Widmer took the actions described in paragraph 15 of this Complaint knowing that he was required to obtain a determination of no supervisory objection prior to opening any loan production office and knowing that he lacked such determination of no supervisory objection prior to opening the loan production office.

17. In the Consent Order, the OCC found that Widmer continued to operate the loan production office between February and April 2013 despite notice from the OCC that operation of the loan production office was not approved.

18. In the Consent Order, the OCC found that Widmer knowingly made false statements to the OCC regarding the source of funding for the loan production office, falsely claiming that a third party corporate entity, Fairplay Funding Corporation (Fairplay), which was not affiliated with Hometown but of which Widmer was President, was paying certain salary operating expenses for the loan production office.

19. In the Consent Order, the OCC found that Widmer knowingly made false statements of material information to the OCC as part of his filing of a change-in-control application in August 2012 in connection with his investment Hometown. Specifically, Widmer falsely represented to the OCC that the funding for his then proposed investment in Hometown was to be personal "cash on hand." In actuality, Widmer directed Fairplay to make payment for

1 his investment in Hometown. The OCC determined that it would not have approved the  
 2 change-in-control application had the actual source of funding for Widmer's investment been  
 3 disclosed.  
 4

5 20. In the Consent Order, Widmer waived his rights to "seek judicial review of" or "contest the  
 6 validity of" the Consent Order. Consent Order, p. 7, Art. V, ¶ 1(c) and (d).  
 7

### 8 **CLAIM FOR RELIEF**

9 Section 8(i)(1) of the Federal Deposit Insurance Act, 12 U.S.C. § 1818(i)(1), provides, in  
 10 pertinent part:

11 The appropriate Federal banking agency may in its discretion apply to the United States  
 12 district court, or the United States court of any territory, within the jurisdiction of which the  
 13 home office of the depository institution is located, for the enforcement of any effective and  
 14 outstanding notice or order issued under this section... and such courts shall have jurisdiction  
 15 and power to order and require compliance herewith...

16 In an action for enforcement of an order brought under section 1818(i)(1), the defendant may not  
 17 challenge the validity of the order sought to be enforced as the court may not "review, modify,  
 18 suspend, terminate, or set aside any such notice or order." 12 U.S.C. § 1818(i)(1).  
 19

20 WHEREFORE, the Comptroller respectfully requests that the Court enter a judgment that:

- 21 1. Enforces the Consent Order;
- 22 2. Requires Widmer to pay restitution of One Million Four Hundred Sixty-Four Thousand  
 23 Dollars (\$1,464,000), plus post judgment interest pursuant to 28 U.S.C. § 1961, within 30  
 24 days; and  
 25  
 26  
 27  
 28

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1 3. Grants all other relief in favor of the United States that the Court deems just and  
2 equitable.

3 DATED this 23rd day of December 2020.  
4

5 Respectfully submitted,

6 BRIAN T. MORAN  
7 United States Attorney

8 s/ Kyle A. Forsyth

9 KYLE A. FORSYTH, WSBA #34609

10 Assistant United States Attorney

11 United States Attorney's Office

12 700 Stewart Street, Suite 5220

13 Seattle, Washington 98101-1271

14 Phone: 206-553-7970

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16 Email: [kyle.forsyth@usdoj.gov](mailto:kyle.forsyth@usdoj.gov)  
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**#2014-163**

**UNITED STATES OF AMERICA  
DEPARTMENT OF THE TREASURY  
COMPTROLLER OF THE CURRENCY**

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**In the Matter of:**

William J. Widmer, Jr.  
Former Chairman of the Board  
Hometown National Bank  
Longview, Washington

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AA-EC-2014-85

**CONSENT ORDER**

WHEREAS, the Comptroller of the Currency of the United States of America (“Comptroller”) intends to initiate cease and desist and prohibition proceedings against William J. Widmer, Jr. (“Respondent”) pursuant to 12 U.S.C. §§ 1818(b) and (e) on the basis of Respondent’s actions as shareholder and Chairman of the Board of Hometown National Bank, Longview, Washington (“Bank”), and as a person who filed a change-in-control notice with the Office of the Comptroller of the Currency (“OCC”), during the period of 2012 through 2013; and

WHEREAS, in the interest of cooperation and to avoid the costs associated with future administrative and judicial proceedings with respect to this matter, Respondent, without admitting or denying any wrongdoing, desires to enter into this Consent Order (“Order”) issued pursuant to 12 U.S.C. §§ 1818(b) and (e);

NOW, THEREFORE, in consideration of the above premises, it is stipulated by and between the Comptroller, through his duly authorized representative, and Respondent that:

## **Article I**

### **JURISDICTION**

(1) The Bank is a national banking association, chartered and examined by the Comptroller, pursuant to the National Bank Act of 1864, as amended, 12 U.S.C. § 1 *et seq.* Accordingly, the Bank is an “insured depository institution” as that term is defined in 12 U.S.C. § 1813(c)(2).

(2) Respondent is the former Chairman of the Board of the Bank, is a shareholder who participated in the conduct of the affairs of the Bank, is a person who filed a change-in-control notice with the OCC, and is thereby an “institution-affiliated party” of the Bank as that term is defined in 12 U.S.C. § 1813(u), having served in such capacity within six (6) years from the date hereof (see 12 U.S.C. § 1818(i)(3)).

(3) Pursuant to 12 U.S.C. § 1813(q), the Comptroller is the “appropriate Federal banking agency” to maintain an enforcement proceeding against institution-affiliated parties. Therefore, Respondent is subject to the authority of the Comptroller to initiate and maintain cease and desist and prohibition actions against him pursuant to 12 U.S.C. §§ 1818(b) and (e).

## **Article II**

### **COMPTROLLER’S FINDINGS**

The Comptroller finds, and Respondent neither admits nor denies, the following:



(1) Respondent served as Chairman of the Board from December 2012 until his resignation in August 2013. Respondent is also a shareholder who participated in the conduct of the affairs of the Bank from October 2012 until his resignation as Director in September 2013. Additionally, Respondent filed a change-of-control notice with the OCC in August 2012 as part of his investment in the Bank.

(2) Respondent opened and operated a loan production office (“LPO”) as a branch of the Bank in Irvine, California. Respondent took such action without obtaining the required prior determination of no supervisory objection from the OCC. On multiple occasions beginning in December 2012, the OCC informed Respondent that operating such a mortgage banking operation required a prior determination of no supervisory objection from the OCC. At no time did the OCC provide the Bank or Respondent with a determination of no supervisory objection for the Bank to open and operate the Irvine, California LPO. Respondent knew he lacked OCC approval for the LPO; notwithstanding, he continued to establish and operate the LPO. Additionally, through material omissions, he made misrepresentations to the Bank’s Board regarding the LPO operations and the lack of OCC prior determination of no supervision objection. Respondent ignored regulatory warnings regarding the lack of approval and continued to incur large expenses associated with LPO operations, such that by February 2013 salaries at the LPO were several times higher than at the rest of the Bank, which was in deteriorated financial condition. When the OCC learned of the LPO in February 2013, the OCC reiterated to Respondent that the Bank did not have approval for the LPO.

Initials: WJW  
Date: 10-27-2014

Respondent took no action in response and the office remained open until the OCC directed the Board in writing in April 2013 to cease all Bank LPO operations.

Additionally, Respondent made false statements to OCC personnel in February 2013 by asserting that the majority of LPO staff were employees of Fairplay Financial, Inc. ("Fairplay"), when in actuality the entire staff of the Irvine, California LPO was transferred to the Bank's payroll as employees the previous month.

(3) Losses to the Bank in 2013 attributable to Respondent's operation of the LPO totaled \$1,464,000. This amount includes salaries, rent, and other expenses of \$1,675,000 minus income for interest, fees, and loan sales of \$211,000.

(4) In August of 2012, Respondent affirmed in an Interagency Notice of Change-in-Control filed with the OCC that he would be funding his investment in the Bank with "cash on hand," which was a false statement in violation of 18 U.S.C. § 1001. In fact, Respondent directed his company, Fairplay, to finance the purchase of Bank stock in his name. The OCC determined, based on Respondent's submission, that it did not disapprove the proposed change in control and Respondent received Bank stock.

(5) By reason of the foregoing conduct, Respondent engaged in unsafe or unsound practices, a violation of law, and breaches of his fiduciary duty to the Bank; caused financial loss to the Bank and received a benefit; and demonstrated personal dishonesty, a willful and continuing disregard for the safety and soundness of the Bank, and a reckless disregard for the law.

### **Article III**

#### **ORDER OF PROHIBITION**

Respondent consents to, and it is ORDERED that:

- (1) With respect to the institutions and agencies set forth in paragraph (2) of this Article, Respondent hereby agrees that he shall not:
- (a) participate in any manner in the conduct of their affairs;
  - (b) solicit, procure, transfer, attempt to transfer, vote, or attempt to vote any proxy, consent, or authorization with respect to any voting rights;
  - (c) violate any voting agreement previously approved by the “appropriate Federal banking agency,” as defined in 12 U.S.C. § 1813(q) (as amended); or
  - (d) vote for a director, or serve or act as an “institution-affiliated party,” as defined in 12 U.S.C. § 1813(u) (as amended).
- (2) The prohibitions in paragraph (1) of this Article apply to the following institutions and agencies:
- (a) any insured depository institution, as defined in 12 U.S.C. § 1813(c);
  - (b) any institution treated as an insured depository institution under 12 U.S.C. §§ 1818(b)(3), (b)(4), or (b)(5);
  - (c) any insured credit union under the Federal Credit Union Act;
  - (d) any institution chartered under the Farm Credit Act of 1971;

- (e) any appropriate Federal depository institution regulatory agency; and
- (f) the Federal Housing Finance Board and any Federal Home Loan Bank.

(3) The prohibitions of paragraphs (1) and (2) of this Article shall cease to apply with respect to a particular institution if Respondent obtains the prior written consent of both the Comptroller and the institution's "appropriate Federal financial institutions regulatory agency," as defined in 12 U.S.C. § 1818(e)(7)(D) (as amended).

(4) This Order shall be enforceable to the same extent and in the same manner as an effective and outstanding order that has been issued and has become final pursuant to 12 U.S.C. § 1818(e) and (h).

#### **Article IV**

##### **ORDER FOR PAYMENT OF RESTITUTION**

Respondent consents to, and it is ORDERED that:

(1) Respondent shall pay restitution to the Bank in the amount of one million four hundred sixty-four thousand dollars (\$1,464,000) upon execution of this Order.

(2) Within seven (7) days of payment, Respondent shall deliver a copy of proof of payment of restitution to Director, Enforcement & Compliance Division, Office of the Comptroller of the Currency, 400 7th Street, S.W., Washington, D.C. 20219. The docket number of this case (AA-EC-2014-85) shall be included with your correspondence.

(3) This Order shall be enforceable to the same extent and in the same manner as an effective and outstanding order that has been issued and become final pursuant to 12 U.S.C. § 1818(b)(6) and (h).

## **Article V**

### **CLOSING**

- (1) By executing this Order, Respondent waives:
- (a) the right to a Notice of Charges for Issuance of an Order to Cease and Desist and a Notice of Intention to Prohibit Further Participation under 12 U.S.C. §§ 1818(b) and (e);
  - (b) all rights to a hearing and a final agency decision pursuant to 12 U.S.C. §§ 1818(b) and (e) and 12 C.F.R. Part 19;
  - (c) all rights to seek judicial review of this Order;
  - (d) all rights in any way to contest the validity of this Order; and
  - (e) any and all claims for fees, costs or expenses against the Comptroller, or any of his agents or employees, related in any way to this enforcement matter or this Order, whether arising under common law or under the terms of any statute, including, but not limited to, the Equal Access to Justice Act, 5 U.S.C. § 504 and 28 U.S.C. § 2412.
- (2) Respondent shall not cause, participate in or authorize the Bank (or any subsidiary or affiliate thereof) to incur, directly or indirectly, any expense for the

payment of restitution under this Order, or any legal (or other professional) expense relative to the negotiation and issuance of this Order except as permitted by 12 C.F.R. § 7.2014 and Part 359. In addition, Respondent shall not, directly or indirectly, obtain or accept any indemnification (or other reimbursement) from the Bank (or any subsidiary or affiliate thereof) with respect to such amounts except as permitted by 12 C.F.R. § 7.2014 and Part 359.

(3) Respondent acknowledges that he has read and understands the premises and obligations of this Order and declares that no separate promise or inducement of any kind has been made by the Comptroller, or his agents or employees, to cause or induce Respondent to agree to consent to the issuance of this Order and/or to execute this Order.

(4) This Order constitutes a settlement of any proceedings arising out of the facts, omissions, or violations described in the Comptroller's Findings (Article II of this Order). The Comptroller agrees not to institute proceedings for the specific acts, omissions, or violations referenced in Article II of this Order, unless such acts, omissions, or violations reoccur. However, the specific acts, omissions, or violations described in Article II may be used by the Comptroller in future enforcement actions to establish a pattern of misconduct or the continuation of a pattern of misconduct.

(5) This Order shall not be construed as an adjudication on the merits and, except as set forth in paragraph (4) above, shall not inhibit, estop, bar, or otherwise prevent the Comptroller from taking any action affecting Respondent if, at any time, he

deems it appropriate to do so to fulfill the responsibilities placed upon him by the several laws of the United States of America.

(6) Nothing herein shall preclude any proceedings brought by the Comptroller to enforce the terms of this Order, and nothing herein constitutes, nor shall Respondent contend that it constitutes, a waiver of any right, power, or authority of any other representatives of the United States or agencies thereof, including the Department of Justice, to bring other actions deemed appropriate.

(7) This Order is intended to be, and shall be construed to be, a final order issued pursuant to 12 U.S.C. § 1818, and expressly does not form, and may not be construed to form, a contract binding the Comptroller or the United States. Respondent expressly acknowledges that no officer or employee of the Comptroller has statutory or other authority to bind the United States, the United States Treasury Department, the Comptroller, or any other federal bank regulatory agency or entity, or any officer or employee of those entities, to a contract affecting the Comptroller's exercise of his supervisory responsibilities.

(8) This Order is "issued with the consent of . . . the institution-affiliated party concerned," pursuant to 12 U.S.C. § 1818(h)(2).

(9) The terms of this Order, including this paragraph, are not subject to amendment or modification by any extraneous expression, prior agreements, or prior arrangements between the parties, whether oral or written.

(10) The provisions of this Order are effective upon issuance by the Comptroller through his authorized representative whose hand appears below, and shall remain effective and enforceable, except to the extent that, and until such time as, any provisions of this Order shall have been amended, suspended, waived, or terminated in writing by the Comptroller, through his authorized representative.

IN TESTIMONY WHEREOF, the undersigned has hereunto set his hand.

s/William J. Widmer, Jr

William J. Widmer, Jr.

10-27-2014

Date

**IT IS SO ORDERED.**

s/Kristina B. Whittaker

Kristina B. Whittaker  
Deputy Comptroller  
Special Supervision

11/18/2014

Date



## CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

**I. (a) PLAINTIFFS**

United States of America,

(b) County of Residence of First Listed Plaintiff \_\_\_\_\_  
(EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorneys (Firm Name, Address, and Telephone Number)

AUSA Kyle Forsyth, U.S. Attorney's Office, 700 Stewart  
Street, Suite 5220, Seattle, WA 98101; 206-553-7970

**DEFENDANTS**

William J. Widmer,

County of Residence of First Listed Defendant King County  
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF  
THE TRACT OF LAND INVOLVED.

Attorneys (If Known)

**II. BASIS OF JURISDICTION** (Place an "X" in One Box Only)

- ☒ 1 U.S. Government Plaintiff ☐ 3 Federal Question (U.S. Government Not a Party)
- ☐ 2 U.S. Government Defendant ☐ 4 Diversity (Indicate Citizenship of Parties in Item III)

**III. CITIZENSHIP OF PRINCIPAL PARTIES** (Place an "X" in One Box for Plaintiff and One Box for Defendant)

- |   | PTF                        | DEF                        |   | PTF                        | DEF                        |
|---|----------------------------|----------------------------|---|----------------------------|----------------------------|
| Citizen of This State                   | <input type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business In This State     | <input type="checkbox"/> 4 | <input type="checkbox"/> 4 |
| Citizen of Another State                | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="checkbox"/> 5 | <input type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation  | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |

**IV. NATURE OF SUIT** (Place an "X" in One Box Only)Click here for: [Nature of Suit Code Descriptions.](#)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES	
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	<b>PERSONAL INJURY</b> <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice	<b>PERSONAL INJURY</b> <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability <b>PERSONAL PROPERTY</b> <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other <b>LABOR</b> <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act <b>IMMIGRATION</b> <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 <b>PROPERTY RIGHTS</b> <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 835 Patent - Abbreviated New Drug Application <input type="checkbox"/> 840 Trademark <input type="checkbox"/> 880 Defend Trade Secrets Act of 2016 <b>SOCIAL SECURITY</b> <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) <b>FEDERAL TAX SUITS</b> <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609	<input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 376 Qui Tam (31 USC 3729(a)) <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit (15 USC 1681 or 1692) <input type="checkbox"/> 485 Telephone Consumer Protection Act <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input checked="" type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes
<b>REAL PROPERTY</b> <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property	<b>CIVIL RIGHTS</b> <input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 445 Amer. w/Disabilities - Employment <input type="checkbox"/> 446 Amer. w/Disabilities - Other <input type="checkbox"/> 448 Education	<b>PRISONER PETITIONS</b> <b>Habeas Corpus:</b> <input type="checkbox"/> 463 Alien Detainee <input type="checkbox"/> 510 Motions to Vacate Sentence <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty <b>Other:</b> <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition <input type="checkbox"/> 560 Civil Detainee - Conditions of Confinement			

**V. ORIGIN** (Place an "X" in One Box Only)

- ☒ 1 Original Proceeding ☐ 2 Removed from State Court ☐ 3 Remanded from Appellate Court ☐ 4 Reinstated or Reopened ☐ 5 Transferred from Another District (specify) ☐ 6 Multidistrict Litigation - Transfer ☐ 8 Multidistrict Litigation - Direct File

**VI. CAUSE OF ACTION**

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):  
12 U.S.C. § 1818(i)(1)

Brief description of cause:  
Complaint to Enforce Compliance with Consent Order

**VII. REQUESTED IN COMPLAINT:**

☐ CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P.

**DEMAND \$**  
\$1,464,000 + interest

CHECK YES only if demanded in complaint:  
**JURY DEMAND:** ☐ Yes ☒ No

**VIII. RELATED CASE(S) IF ANY**

(See instructions):

JUDGE \_\_\_\_\_

DOCKET NUMBER \_\_\_\_\_

DATE

SIGNATURE OF ATTORNEY OF RECORD

s/ Kyle Forsyth

FOR OFFICE USE ONLY

RECEIPT # \_\_\_\_\_ AMOUNT \_\_\_\_\_ APPLYING IFP \_\_\_\_\_ JUDGE \_\_\_\_\_ MAG. JUDGE \_\_\_\_\_

**INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44****Authority For Civil Cover Sheet**

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence.** For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys.** Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction.** The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.  
 United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here. United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.  
 Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.  
 Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)
- III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit.** Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: [Nature of Suit Code Descriptions](#).
- V. Origin.** Place an "X" in one of the seven boxes.  
 Original Proceedings. (1) Cases which originate in the United States district courts.  
 Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441.  
 Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.  
 Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.  
 Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.  
 Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407.  
 Multidistrict Litigation – Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket. **PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7.** Origin Code 7 was used for historical records and is no longer relevant due to changes in statute.
- VI. Cause of Action.** Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes unless diversity.** Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service.
- VII. Requested in Complaint.** Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.  
 Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.  
 Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases.** This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

**Date and Attorney Signature.** Date and sign the civil cover sheet.

UNITED STATES DISTRICT COURT

for the

\_\_\_\_\_ District of \_\_\_\_\_

\_\_\_\_\_  
*Plaintiff(s)*

v.

\_\_\_\_\_  
*Defendant(s)*

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Civil Action No.

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: \_\_\_\_\_

\_\_\_\_\_  
*Signature of Clerk or Deputy Clerk*

Civil Action No. \_\_\_\_\_

**PROOF OF SERVICE***(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* \_\_\_\_\_  
 was received by me on *(date)* \_\_\_\_\_.

☐ I personally served the summons on the individual at *(place)* \_\_\_\_\_  
 \_\_\_\_\_ on *(date)* \_\_\_\_\_; or

☐ I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
 \_\_\_\_\_, a person of suitable age and discretion who resides there,  
 on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

☐ I served the summons on *(name of individual)* \_\_\_\_\_, who is  
 designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
 \_\_\_\_\_ on *(date)* \_\_\_\_\_; or

☐ I returned the summons unexecuted because \_\_\_\_\_; or

☐ Other *(specify)*: \_\_\_\_\_.

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

\_\_\_\_\_  
*Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc: